



In re Patent Application of MICHAEL C. G. LEE

Serial No.

Group Art Unit:

Filed:

Examiner:

For: FIREWALL FOR REAL-TIME INTERNET APPLICATION

### **INFORMATION DISCLOSURE STATEMENT**

This Information Disclosure Statement is being filed in the manner prescribed by 37 CFR 1.97(b) - (d) to satisfy the duty under 37 CFR 1.56 to disclose to the Office information, known to individuals associated with the filing and prosecution of the subject application, which is material to the examination of the application.

In accordance with 37 CFR 1.97(g) and (h), this statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 CFR 1.56(b).

This information disclosure statement is being filed within three months of the filing date of a national application, within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first official action on the merits and therefore applicant respectfully requests consideration under 37 CFR 1.97(b).

In compliance with 37 CFR 1.98(a)(1), a list of all patents, publications or other information submitted for consideration by the Office is hereby provided by way of the attached Form PTO 1449.

In compliance with 37 CFR 1.98(a)(2), also enclosed is a legible copy of:

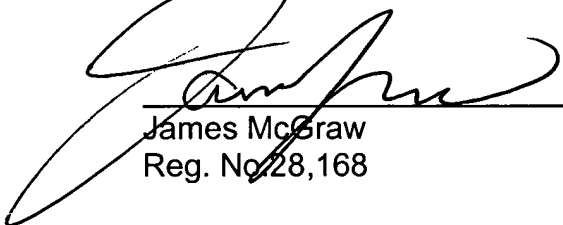
- i) each United States and foreign patent;
- ii) each publication or that portion which caused it to be listed; and
- iii) all other information or that portion which caused it to be listed, excluding any copies of a United States patent application.

It is respectfully requested that the information be expressly considered by the Examiner and that the references be made of record and appear among the "References Cited" on any patent to issue therefrom.

The Patent Office is hereby authorized to charge any deficiency, or credit any overpayment in fees to Deposit Account Number 19-2550.

Respectfully submitted,

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JMc/ESS/sam  
September 27, 2000

Encls.: Form PTO-1449  
All references listed on Form PTO-1449

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| Form PTO-1449 (Modified)   | Atty. Docket No. 78848-12   | Serial No. |
| LIST OF PATENTS AND PUBLICATIONS<br>FOR APPLICANT'S INFORMATION<br>DISCLOSURE STATEMENT<br>(Use several sheets if necessary) | Applicant MICHAEL C. G. LEE |            |
|  | Filing Date                 | Group      |

Jc927 U.S. PTO  
 09/671250  
 09/28/00

### REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAM.<br>INIT. | AA | 6 | 0 | 6 | 1 | 7 | 9 | 8 | DATE     | NAME        | CLASS | SUB<br>CLASS | FIL. DATE IF<br>APPROPRIATE |
|----------------|----|---|---|---|---|---|---|---|----------|-------------|-------|--------------|-----------------------------|
|                |    |   |   |   |   |   |   |   | 05/09/00 | COLEY ET AL |       |              |                             |
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### FOREIGN PATENT DOCUMENTS

|  |  | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION |    |
|--|--|-----------------|------|---------|-------|--------------|-------------|----|
|  |  |                 |      |         |       |              | YES         | NO |
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### OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.)

|          |    |   |  |
|----------|----|---|--|
|          | AB | / | Axent Technologies Inc., "Everything Your Need to Know About Network Security", pp. 1-33, 1998.      |
|          | AC | / | "NAT and Networks" www.suse.de/~mha/linux-ip-nat/diplom/node2.html.                                  |
|          | AD | / | "White Paper Definitions"<br>www.e2s.be/Softwaremanagement/Surftwaremanagement/Surfcontrol/fdefs.htm |
|          | AE | / | "White Paper – The Firewall and Online Security",<br>www. xpertdata.nl/service/papers/firewall.html. |
|          | AF | / | "General Firewall White Paper" www. ntresearch. Com/firewall, htm                                    |
| EXAMINER |    |   | DATE CONSIDERED  |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.